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| TRANSMITTAL FORM <i>(to be used for all correspondence after initial filing)</i> | | Application Number | 09/849,152 |
| | | Filing Date | May 4, 2001 |
| | | First Named Inventor | Peter R. McCann |
| | | Group Art Unit | 2872 |
| | | Examiner Name | Allen, Denise S. |
| Total Number of Pages in this Submission | 113 | Attorney Docket Number | KLR: 1016.0066 |

| ENCLOSURES (check all that apply) | | |
|---|--|--|
| <input checked="" type="checkbox"/> Fee transmittal <input checked="" type="checkbox"/> Fee attached form <input type="checkbox"/> Amendment <input type="checkbox"/> After Final/ Response <input type="checkbox"/> Affidavits/Declaration(s) <input type="checkbox"/> Extension of Time Request <input type="checkbox"/> Express Abandonment Request <input checked="" type="checkbox"/> Information Disclosure Statement <input type="checkbox"/> Certified copy of Priority Documents <input type="checkbox"/> Response to Missing Parts/ Incomplete Application <input type="checkbox"/> Response to Missing Parts Under 37 CFR 1.52 or 1.53 | <input type="checkbox"/> Assignment Papers (for an application) <input type="checkbox"/> Drawing(s) <input type="checkbox"/> Licensing Related Papers <input type="checkbox"/> Petition Routing Slip (PTO/SB/69) and Accompanying Petition <input type="checkbox"/> Petition to Convert to a Provisional Application <input type="checkbox"/> Power of Attorney, Revocation, Change of Correspondence Address <input type="checkbox"/> Terminal Disclaimer <input type="checkbox"/> Small Entity Statement <input type="checkbox"/> Request for Record | <input type="checkbox"/> After Allowance Communication to Group <input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences <input type="checkbox"/> Appeal Communication to Group (Appeal Notice, Brief, Reply Brief) <input type="checkbox"/> Proprietary Information <input type="checkbox"/> Status Letter <input type="checkbox"/> Additional Enclosures (Identify below) <ol style="list-style-type: none"> 1. Return Receipt Postcard 2. Check 3. Form PTO-1449 (modified) 4. Copies of 3 References identified in form PTO-1449 |
| Remarks: | | |

| SIGNATURE OF APPLICANT, ATTORNEY OR AGENT | |
|---|--|
| Firm or Individual Name | Chernoff, Vilhauer, McClung & Stenzel L.L.P. |
| Signature | |
| Date | January 8, 2003 |

| CERTIFICATE OF MAILING | | | |
|---|------------------|------|-----------------|
| I hereby certify that, on the date shown below, this correspondence is being deposited with the United States Postal Service in an envelope addressed to: Assistant Commissioner for Patents, Washington, D.C., 20231 | | | |
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| Type or print name | Kevin L. Russell | | |
| Signature | | Date | January 8, 2003 |



Practitioner's Docket No.: KLR 1016.0066

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant : Peter R. McCann

Group Art Unit: 2872

Serial No.: 09/849,152

Examiner: Allen, Denise S

Filed : May 4, 2001

Title : FIBER OPTIC WAFER PROBE

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U.S. PATENT AND TRADEMARK OFFICE

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January 8, 2003

TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT
BEFORE MAILING OF EITHER A FINAL ACTION
OR NOTICE OF ALLOWANCE (37 CFR § 1.97(c))

Assistant Commissioner for Patents
Washington, D.C. 20231

Dear Sir:

TIME OF TRANSMITTAL OF ACCOMPANYING
INFORMATION DISCLOSURE STATEMENT

1. The information disclosure statement submitted herewith is being filed after three months of the filing date of this national application or the date of entry of the national stage, as set forth in § 1.491, of the international application or after the mailing date of a first office action on the merits, whichever event occurred last, but before the mailing date of either:
 - (1) a final action under § 1.113 or
 - (2) a notice of allowance under § 1.311,whichever occurs first.

STATEMENT OR FEE

2. Accompanying this transmittal is:

A. a statement as specified in 37 CFR § 1.97(e).

OR

B. the fee set forth in 37 CFR § 1.17(p) for submission of an information disclosure statement under § 1.97(c).

METHOD OF PAYMENT OF FEE

3. Attached is a check money order in the amount of \$180.00

Authorization is hereby made to charge the amount of _____

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to credit card shown on the attached authorization form PTO-2038.

Charge any additional fees required by this paper or credit any overpayment in the manner authorized above.

A duplicate of this paper is attached.

In accordance 37 CFR § 1.97(h), the filing of this information disclosure statement will not be regarded as an admission that any reference or combination of references referred to herein is, or is considered to be, material to patentability under 37 CFR § 1.56(b) unless specifically designated as such.

Tel. No. 503.227.5631



Kevin L. Russell

Reg. No. Reg. 38,292